

#3 GAU 2161  
24530-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #3

In re Application of:

Kenji Hito

Application No.: 10/047,531

Filed: October 23, 2001

For: INVESTING METHOD, DEVICE FOR INVESTING AND INVESTING SYSTEM

Assistant Commissioner for Patents  
Washington, D.C. 20231



Group Art Unit: 2161

Examiner: To be assigned

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May 6, 2002

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicant is filing this Information Disclosure Statement and the accompanying form PTO-1449 along with copies of the prior art therein identified.

Applicant submits that this submission is prior to the mailing of a first Office Action on the merits. Thus, no fee is believed due.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Mark Montague".

Mark Montague  
Reg. No. 36,612

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on May 6, 2002

Date of Deposit

Mark Montague

Attorney

Signature

May 6, 2002

Date of Signature

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 03-3415.



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**MAY 10 2002**

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**Compleat if Known**

(use as many sheets as necessary)

Sheet	2	of	2
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<b>Application Number</b>	10/047,531
<b>Filing Date</b>	October 23, 2001
<b>First Named Inventor</b>	Kenji Hito
Group Art Unit	2161
<b>Examiner Name</b>	To be assigned
<b>Attorney Docket Number</b>	24530-00

[illegible]

Date	
Considered	

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.